

Diagnostic Assessment Components

This document shows the DA components aligned by type. At the end of the chart you will find the definitions of each component.

Diagnostic Assessment (DA) Report Components	Brief	Standard	Extended	Adult Update
				ADULT UPDATE ONLY: for areas marked in this section there must be a review and written update of those parts where significant new or changed information exists and document where there has not been significant changes.
Client's current life situation:				
Age	X	X	X	X
Current living situation, including household membership and housing status	X	X	X	X
Basic needs status including economic status	X	X	X	X
Education level and employment status	X	X	X	X
Significant personal relationships, including the client's evaluation of relationship quality	X	X	X	X
Strengths and resources including the extent and quality of social networks	X	X	X	X
Belief systems	X	X	X	X
Contextual non personal factors contributing to the clients presenting concerns	X	X	X	X
General physical health and relationship to client's culture	X	X	X	X
Current medications	X	X	X	X
				ADULT UPDATE ONLY: for areas marked in this section there must be a review and written update of those parts where

				significant new or changed information exists and document where there has not been significant changes.
Reason for the assessment:				
Description of symptoms including reason for referral	X	X	X	X
Perception of his or her condition		X	X	X
History of mental health treatment including review of records		X	X	X
Developmental incidents		X	X	X
Maltreatment or abuse		X	X	X
History of alcohol and/or drug abuse		X	X	X
Health history and family health history		X	X	X
Cultural influences and impact		X	X	X
Mental status exam				
	X	X	X	X
Assessment of client needs based on baseline measurements, symptoms, behaviors, skills, abilities, resources, vulnerabilities, safety needs				
		X	X	X
Screenings used to determine substance abuse, and other standardized screening instruments:				
CAGE-AID, GAIN-SS	X	X	X	X
Assessment methods:				
CASII, ECSII, SDQ (children's assessments)		X	X	
LOCUS (not required at this time)				
Clinical summary:				
Recommendations	X	X	X	X
Prioritization of needed mental health, ancillary, or other services	X	X	X	X
Client and family participation in assessment		X	X	X
Referrals to services required by statute or rule		X	X	X
Service preferences		X	X	X
Cause, prognosis, likely consequences of symptoms	X	X	X	X

How Dx criteria is met: symptoms, duration and functional impairment	X	X	X	X
Strengths, cultural influences, life situations, relationships, health concerns & how dx interacts/impacts with client's life	X	X	X	X
Explain R/O, other provisional Dx, and why alternative Dx that were considered were ruled out.	X	X	X	X
Diagnosis:				
Complete Dx Axis 1-5 based on DSM (for Standard, Extended or Adult Update)	X	X	X	X
Provisional diagnostic hypothesis plus Axis 5 (for Basic)	X			

Definitions of components

Age	Age is meant to be actual stated age, but can also include developmental age, especially as it pertains to children and adults with cognitive disabilities
Current living situation, including household memberships and housing status	Describe who is in the house, what type of living situation (i.e. stability, multiple moves, potential eviction)
Basic needs status including economic status	Describe the client/family fiscal situation including whether the client receives economic assistance, whether basic needs like housing, food, and clothing are being met
Education level and employment status	Level of education or placement in school, current employment status and/or engagement with the workforce
Significant personal relationships, including the clients evaluation of relationship quality	Describe who is important in the client's life and how they relate to each other
Strengths and resources, including the extent and quality of social networks	What does the client do well? What is going well in his or her life? Who does the client consider supportive?
Belief systems	Describe religious affiliation or spiritual belief system
Contextual non-personal factors contributing to the clients presenting concerns	Describe what are the other factors that help create the client's current issues—divorce in the family, a recent death, natural disaster, economic downturn
General physical health and relationship to client's culture	Describe the client's physical health symptoms/diagnosis and use of traditional rather than Western medical practices to treat illness
Current medication	Listing of all current medications—herbal, prescription or over the counter
Description of symptoms including reason for referral	Report all the symptoms the client is having, not just those that match the end diagnosis
Clients perception of his or her condition	How the client sees her situation in comparison with others around her
History of mental health treatment including review of records	This includes: information from other providers, historical diagnoses, symptoms they used to have and documentation of looking at previous

	historical records
Developmental incidents	Developmental milestones, accidents, issues that impeded meeting milestones, etc. This is not limited to children's stages of development
Maltreatment or abuse	Discuss if there has ever been child protection involvement, a documented abuse history or client self-report
History of alcohol and/or drug use/abuse	Discuss self-report of use and reports by others, if available
Health history and family health history	All history of note should be mentioned that impacts client's genetic predisposition to potential physical and mental health issues
Cultural influences	Discuss cultural issues in the client's life and how they impact current functioning
CASII, ECSII, SDQ	Children's level of care and functioning instruments
Five Axis	<p>Axis I: Clinical disorders, including major mental disorders, learning disorders and Substance Use Disorders</p> <p>Axis II: Personality and intellectual disabilities</p> <p>Axis III: Acute medical conditions and physical disorders</p> <p>Axis IV: Psychosocial and environmental factors contributing to the disorder</p> <p>Axis V: GAF (Global Assessment of Functioning) or Children's Global Assessment Scale</p>